

University of London

SCHOOL
OF
ADVANCED
STUDY

Seventh Annual Report : 2000-2001

PREFACE

This report offers a picture of the activity of School after its first seven years of existence, a figure which will have particular resonance for those familiar with Jesuit approaches to the guidance of young lives. It offers a descriptive account of what we do, and relegates lists, figures and statistics to Appendices. As an institution with a highly unusual range of academic activities, however, and unusual modes of public funding to match, the School has always been keenly conscious of the need for quantitative recording of its work to provide additional and formal evidence of the value and efficiency of its academic services to the higher education community and the informed public. The records show that over the life of the School it has, against a background of public funding which has mirrored that of the higher education sector as a whole, achieved steady and substantial growth in real terms, particularly in its specialist library provision and in its research programmes. This growth, of some fifty per cent across the School since its founding, has been achieved both by ever more intensive use of resources of staff and finance, and through success in raising external funding for research, publications, and other purposes.

As I prepare, at the end of my last full year as Dean, to hand over the office to my colleague Professor Nicholas Mann, Director of the Warburg Institute since 1989, I am acutely conscious of how much that achievement owes to the unremitting hard work of the Directors of Institutes and to their capacity to inspire the same commitment in their staff. It is therefore good to be able to report that one consequence of recent discussions between the University of London and the Higher Education Funding Council about a new mode of delivering funding for the School – and other academic activities at the centre of the University – may be the creation of a School scheme to allow hard-pressed Directors, and other senior staff, some pauses from their managerial duties during which they can devote themselves wholly, and not just in odd half-hours, to their personal research. Such arrangements are long overdue, but of great importance if the School is to sustain and support that rare combination of high intellectual prowess and managerial drive which the leadership of Institutes demands.

Terence Daintith
Dean

November 2001

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INTRODUCTION

The School's core functions are to protect, develop, interconnect and extend the work of its member-Institutes and Programmes. The key to that work is the 'Institute model':

an approach to advanced study in which a small team of specialists manages close and continuing interaction between a research library collection, a portfolio of research projects, a broad and freely-associating community of scholars, and a group of Fellows and advanced students; an approach which is

- democratic: it is open to all who feel that their research has something to contribute to or to gain from the resources each Institute offers and the intellectual association with their peers that it promotes;
- comprehensive: it offers support at all stages, from archival and library research, through the critical appraisal of colleagues at seminars and conferences, to processes of publication;
- altruistic: its primary purpose is to support the work not of its own staff and students, but of members of other institutions.

This approach, and the remarkable library and other academic resources which sustain it, make the School different in important ways from many other bodies bearing the title 'Institute of Advanced Study'. The School's mission is not one of wholly generalised support for advanced study, which needs to particularise itself over given periods of time by reference to intellectual themes or topics. Its pursuit rather requires close attention, and a continuous and sensitive response, to the needs of the quite diverse national and international academic constituencies which look to its Institutes and Programmes to sustain and stimulate their research. In consequence the intellectual agenda of the School must itself be diverse, and it is indeed this well-founded diversity which has made the School, through particular Institutes, an attractive partner in recent years for a wide range of overseas institutions, in conference organisation (below, p. 4), in mounting research projects and programmes such as the Columbia programme at the Institute of Advanced Legal Studies (below, p. 13) and the multinational CULTOS project at the Institute of Romance Studies (below, p. 21), and in library co-operation.

But the existence of the School framework also means that Institutes seek to respond to each other's interests with the same continuity and sensitivity, not least by designing and carrying through joint events, such as conferences, and other projects. Some of this year's examples of this long-standing collaborative practice are described below. In addition, the School devoted particular attention, in the last year, to encouraging more informal links between Fellows and staff across the boundaries of Institutes (below, p. 5). Further activity across such boundaries will be an important feature of work in 2001-02 and thereafter, and will be led from a strengthened Dean's Office with the help of a new and broadly-based School Programme Committee.

PART I: THE SCHOOL

RESEARCH, SEMINAR AND CONFERENCE PROGRAMMES

Seminars, conferences and other events

The rich and varied seminar and conference programmes of the School continue to be the primary showcase for the research in the humanities and social sciences undertaken in and supported by the School. More detail is provided in the Institute and Programme reports in the Part II, though even there little more than an outline sketch can be given. An important feature of these programmes is the degree to which they accommodate collaborative efforts between Institutes and also with a wide range of distinguished bodies outside the School. The latest in the annual series of major inter-Institute conferences supported by the School was the conference on ‘Cultures of Political Transition: Memory, Identity and Voice’, convened in September 2000 by Dr Paul Gready of the Institute of Commonwealth Studies, in collaboration with the Institutes of Latin American Studies and Romance Studies. One of the lessons of this conference was the way in which the structures of the School can foster productive dialogue on themes like this between scholars in the humanities and the social sciences.

They are also flexible enough to ensure a uniquely rich and vigorous response to topical issues. Among the most animated discussions in the School during the year were surely those at the conference titled ‘Do we want to keep our newspapers?’, organised jointly by the Institutes of English Studies, United States Studies and Historical Research and addressing the major libraries’ policies for retention (or disposal) of printed originals of newspapers. Prominent among these libraries was the British Library, which was present as an active participant in the discussion. In a less controversial environment, the British Library also appeared as an external partner, with the Society for Renaissance Studies, the Institute of Classical Studies and the Research Centre for the History of the Book at English Studies, in the organisation of a major conference on Incunabula held at the School. Distinguished foreign partners included New York University and the Instituto Cervantes, for a conference with the Institute of Romance Studies on the Spanish-English intellectual José Blanco White, and the Ecole Pratique des Hautes Etudes, for a conference with the Warburg Institute on ‘Writing in the Margin’.

Research projects

Much stress is currently laid in public discourse on the way in which the style of research in the humanities is changing so as to look more like that in the physical and social sciences, through teamwork in substantial funded projects. Virtually all Institutes and Programmes are actively engaged in some work of this kind, which besides its intrinsic value often offers an excellent way of bringing young researchers into the day-to-day life of the Institute, while adding a useful and flexible supplement to core funding. For some Institutes, such as the Institute of Historical Research in respect of the Victoria County History, Metropolitan History, and Contemporary British History, Advanced Legal Studies in relation to the work of its Woolf Chair in Legal Education, and Commonwealth Studies in respect of the British Documents on the End of Empire Project, they represent a key mode of work and a major revenue stream. The ‘softness’ of such funding, however, places real stress on the managers of activities like these, and it is therefore a great pleasure to report the achievement of the Institute of Historical Research in obtaining nearly a decade of secure funding from the Leverhulme Trust for two new research Chairs in Contemporary History and Comparative Metropolitan History. This will greatly enrich the intellectual life of both Institute and School.

The School continues to provide the base for the Project on the Reception of British Authors in Europe; a multi-volume series, it has twenty volumes in preparation, *The Reception of Virginia Woolf in Europe* having been published by Continuum Books (London and New York) in 2001. The Project this year organised three Conferences at the School: 'The Irish and British fin de siècle in Europe: Wilde and Yeats' in October (with the Institute of English Studies); 'H.G. Wells: The Writer and Technology in the Twentieth Century' (March); and 'The Reception of Henry James in Europe' (May). The Director gave presentations at the Conference 'The Legacy of John Ruskin in Italy' in November, at the Conference of Sterne contributors in Utrecht in December, and at the Conference of Joyce contributors in Antwerp in March. The Director, Dr Elinor Shaffer, FBA, was also appointed Deputy Director of the new Centre for the Reception of German Literature, at the Institute of Germanic Studies. She continued to chair the Reception Studies Seminar (with the Institute of Romance Studies) and the Reading: Histories and Theories Seminar (with the Institutes of English Studies and Historical Research). She edited the volume of the School-Getty Research Institute Conference on 'The Humanist Tradition in the Twentieth Century' (Cambridge University Press 2001). New funding was received from the British Academy, the European Science Foundation, Dialoger (Stockholm), and COTEPRRA, an EU partnership.

Alongside the growing importance and range of collective projects, individual research enterprise remains at the heart of the work of the School, pursued alike by members of staff, research students, Fellows and library users. Knowledge of this rich and varied activity has been greatly advanced this year by the creation of a School-wide database of staff and Fellows' research interests and projects, which at the year's end was being prepared for mounting on the School's intranet for immediate access by its members. The intranet will also be used as a mechanism for administrative communication (see p. 10 below).

Fellows

The research database was one result of a remit to encourage cross-Institute intellectual communication within the School given by the Dean to one of its 1999-2000 Fellows, Dr Mary Anne Perkins. She was invited both to continue her research in the School for a further year and to open up pathways for academic collaboration, particularly between Fellows working in different Institutes. Dr Perkins's work led to some successful and convivial meetings: a couple of lunchtime open meetings where Fellows and staff discussed both practical and intellectual problems raised by work across disciplinary boundaries; and two evening receptions, at the beginning and end of the year, which for the first time offered Fellows the chance of personal contacts right across the School. Much appreciated by Fellows, her work also produced some new and unexpected intellectual links – enabling, for example, a lawyer to get together with classicists to advance work on concepts of arbitration in ancient Rome.

Dr Perkins's remit ran to the end of the year, but her work is being continued in several ways. As noted above, the database of research interests that she built up is being maintained and enlarged, and made instantly accessible across the School via its intranet.

The key role of Fellows – and especially of Visiting Fellows – in constantly refreshing the intellectual life of the School and, indeed, providing it from time to time with a particularly strong focus has been recognised by the School. The Directorate agreed in July to enhance and focus the resources committed to School Fellowships through the appointment each year of a very limited number of Professorial Fellows prepared to play an active role in a cross-School intellectual programme shaped by their own interests. This approach is being advanced further thanks to the generosity of a Singaporean benefactor of the University, Dr S.T. Lee, who has provided funds which will help to support an S.T. Lee

Professorial Fellow. An annual lecture by the Fellow will be added to the School's roster of major events.

LIBRARIES AND INFORMATION SERVICES

Libraries

A major upgrade to the automated library system of the School was negotiated with the supplier and the funding secured during the year. The implementation of the upgrade programme will take place in stages over the next three years. The new 'Millennium' version of the Innopac software is web-based but retains full compatibility with the existing system allowing a transition phase for Institute libraries. It will offer in particular the opportunity to integrate access to the catalogue and to other web-based information resources.

The first stage in the upgrade programme has been completed with the installation of a new and more powerful server to which the system has been transferred. Some new software elements have also been installed including a facility to allow unlimited numbers of users of the public catalogue on the web, previously limited by the number of licences, to accommodate the ever-growing remote use of the system.

The School's automated catalogue has grown dramatically with the completion of another major retroconversion project and now contains records for almost all the collections within the School's libraries. Local specialist conversion work is taking place on a small number of remaining records held in card catalogues at one Institute. The School continues to contribute its records to the database of CURL (the Consortium of University Research Libraries) and loaded over 75,000 records during the year comprising the results of retroconversion and current cataloguing. These records are nationally accessible through COPAC (CURL's online catalogue). The School has also collaborated with partners to make available data for the London Union List of Serials and the M25 Link Project for a combined virtual catalogue of members of the M25 Consortium of academic libraries.

Libraries continued to investigate enhancements to the quality of bibliographic data held on the automated catalogue. Pilot projects with external services to process the data were completed but, after analysis, it was concluded that no sufficiently effective and reliable service was available at present for the specialist data held by the School. A new strategy was adopted during the year which will require, as a first step, the conversion of the standard format in which all the catalogue data is held. The new format, MARC21, is emerging as the new international standard format. The system supplier is currently creating the software to effect the conversion.

Notable acquisitions in the School's libraries during the year have included, at Commonwealth Studies, the papers of Mary Benson, anti-apartheid activist, writer and good friend of Nelson Mandela, and of the South African revolutionary and historian, Baruch Hirson, a gift reflecting the longstanding role of the Institute as a focus for research into the history of the apartheid era in South Africa; at the Warburg Institute, the bequests of Mrs Monica Barnett and Professor Albert Lovett, including books in the fields of art and archaeology, mostly oriental and pre-Columbian American, ancient history, medieval and Early Modern Spain, art history and the Thirty Years War; and at Advanced Legal Studies, the purchase from the International Law Association of a collection of classic works, mainly from the seventeenth and eighteenth century on legal topics, and including more than a dozen works by Hugo Grotius, the earliest dating from 1600, and works by authors such as Burlamaqui, Ulrik Huber, von Martens, and von Pufendorf. Several works including an early edition of the collected poetry of Grotius were passed to the Warburg Institute for its library.

The success of School libraries in obtaining funding from the Research Support Libraries Programme (RSLP) for projects and through the RSLP's 'access' stream was reported last year. The libraries continued to participate in RSLP projects and to lead major collaborative collection management projects. This work shows how well placed they are to co-ordinate national collaboration in the provision of research level collections in particular subjects and areas of study, an activity in which they have long played a leading role.

A small but significant change in library management within the School has taken place with the creation of a joint post of Information Resources Manager in the Institutes of Commonwealth Studies and Latin American Studies. The holder of the post will have responsibility, *inter alia*, for the running of both libraries. The appointment reflects the strong intellectual links between the two Institutes, and important similarities in the missions of their respective libraries, and will permit the exploration of joint working between the libraries in areas going beyond the very successful School-wide library automation system.

The School has continued to be represented at the meetings of the regional and national library groups in which it participates. A presentation of the work of the School libraries was given at Birkbeck College. The School's own Libraries Committee continues to provide a forum for discussion and exchange of information for research libraries across the centre of the University and beyond: the University Library and the Courtauld Institute are represented, as are the School of Slavonic and East European Studies, now part of University College London, and the School's Associate, the Wellcome Library. It is a matter of considerable pleasure that the group was increased by the recent conclusion of an Association agreement with the Society of Antiquaries of London Library.

Information technology

Institute reports in Part II refer to a number of areas in which Institutes are making imaginative use of IT to further their role as convenient gateways to the research resources of their field, whether through databases, content-rich websites, interactive communications technology, or other means. Support for these and more 'traditional' uses of IT continues to be divided between the University's Network Support Team, which maintains the network, manages external connections, and provides e-mail and other core communications services; and specialist support staff in some of the larger Institutes, responsible for providing technical support for specialised local facilities. Potentially useful and indeed necessary activity in a large and varied area like the School tends constantly to outgrow the support facilities available, and the School's Computing Committee and Computer Users Group, which bring together representatives of Institutes and the Network Services Team, have played an important role in communicating needs and assessing responses.

Extensive work has gone on during the year to commission and develop a complete redesign of the general University of London website. The School, represented in this process, has been able to secure the redesign of its 'central' pages as part of the undertaking, and will use this as a platform for promoting more effective linkage of Institute websites in terms of both navigation and content.

PUBLICATIONS

The School continues to serve its academic constituencies by functioning as an academic publisher across a broad field, both through its own imprints and through joint ventures with commercial

publishers. Publication output is evenly spread among monographs, edited symposia and other collective volumes, primary materials, research aids and journals, and normally presents research undertaken in or with the support of Institutes. A list of the year's publications, classified by subject, will be found at Appendix 5.

TEACHING AND TRAINING

The Academic Policy and Standards Committee (APSC) continued to monitor quality assurance in teaching programmes in the School, to respond to consultations and other initiatives from the University and from outside bodies and to advise the Directorate.

The Directorate, on the advice of APSC, approved the introduction of a distance-learning MA in United States Studies, based on the existing Master's programme at that Institute. Development funding was agreed by the University of London External System, to commence in January 2001. The new programme is planned to start in 2003-04.

The Directorate also approved the introduction, from 2002-03, of a new taught Master's programme coordinated by the Institute of Latin American Studies (MSc in Globalisation and Latin American Development) and discussions were started on two other new programmes, at the Institutes of Commonwealth Studies and Historical Research.

The Directorate was assisted in the allocation and monitoring of HEFCE funded student numbers by the Student Admissions Committee. The Committee was directly responsible for approval of admission of new MPhil/PhD students to funded places (Home and EU students), and monitored the admission of non-funded students (overseas and independent) through reports from Institutes. Detailed statistics on numbers will be found in Appendix 6, with results in Appendix 7. Taught Master's numbers remained stable; recruitment at the Institute of English Studies showed continuing growth from a low base. Recruitment of research students increased somewhat. Particular note should be taken of the development of PhD work in Institutes where it has hitherto been absent or highly sporadic, a trend likely to continue in future years.

Despite this modest growth the School's total recruitment of students was again slightly below the figure indicated by HEFCE cost assumptions (within overall figures for the central University including the Courtauld Institute, the British Institute in Paris and the University Marine Biological Station), and it was not necessary to apply the quota mechanisms developed in the School. In the course of the year the Directorate agreed to suspend the operations of the Student Admissions Committee and to monitor student numbers by administrative means. At the same time, the Directorate agreed a set of qualitative criteria and procedures for admission of research students, whether to funded or unfunded places.

The School organised activities for staff and research students with resources provided under the HEFCE's Teaching Quality Enhancement Fund, including training workshops on conferences for PhD students, teaching skills for research students, IT training and a workshop on membership of the Institute of Learning and Teaching.

The School again produced a Handbook of Research Training and Facilities for Postgraduate Students, giving information on programmes in the different Institutes as well as on libraries and other facilities, and held a successful Open Evening to bring together research students in the different Institutes. The Institutes' student representatives met together on several occasions and organised a number of successful student-based workshops and seminars.

STRUCTURE AND ADMINISTRATION

Directors gave further attention to administrative issues this year, in preparation for the installation of a new Dean in session 2001-02. A comprehensive review by Directorate working parties confirmed the appropriateness of the Dean's functions and terms of appointment, but recommended the provision of additional administrative support at a senior level. The new Dean will address this need on taking up office in 2001-02. Meanwhile the School has begun examining, with senior officers of the University, the allocation of administrative functions between the offices of Institutes, the Dean's office and central departments such as the University's Finance and Accounting Division and its Staff and Student Services Division. The aim is to hold down the overall costs of functions such as finance and personnel services while ensuring that Directors (and the Dean) maintain and indeed enhance their capacity for effective direction and control at Institute and School level.

In the Dean's Office Ushka Wakelin left her post as Clerical Assistant in December, and was replaced by Souwie Buis. At the same time Emilce Rees took up a part-time appointment as the School's webmaster, and in the limited time available has done much to prepare the structure and material for the School's intranet web pages, launched shortly after the end of the year under review. The pages will carry a wide range of administrative and academic information of common concern, and their use should significantly reduce communication costs.

PREMISES

Over the seven years since the School's foundation Institutes have steadily worked their premises harder. Activity, as judged by inflation-adjusted financial turnover, has increased by some 50 per cent since 1994; premises expansion has been much more sporadic and restrained both by physical opportunities within the University and by cost constraints. New scope for reorganisation within Senate House identified by the University this year has, however, helped the School by permitting a series of moves, presently in train, which will give the Institutes of United States Studies, Romance Studies and English Studies additional and better configured space, and enable some expansion of the Institute of Historical Research to accommodate its new Leverhulme Professors (see p. 18).

Accommodation for academic visitors is also a key concern of the School. Costs of staying in London are high, and may act as a disincentive to use of the School's library and other resources by visitors from abroad and from some other parts of the United Kingdom. On the basis of a survey of UK arts faculties conducted with the assistance of the Council of University Deans in Arts and Humanities (CUDA), the School has concluded an arrangement with the Goodenough Trust whereby such visitors can enjoy discounted rates at the Trust's new accommodation for academics in Mecklenburgh Square. Plans for dedicated longer-stay accommodation for Fellows are also under study.

FINANCE

The School continued to enjoy a stable financial climate in 2000-01. Its grant from the University amounted to £5.75m. (1999-2000: £5.52m.) and was derived from funding provided by the Higher Education Funding Council for England. The core grant represented 50.4 per cent of total income of £11.4m. (1999-2000: 49.9 per cent). There was little significant variation in the contribution to total

income from the various non-HEFCE sources such as tuition fees, donations, endowments, research contracts, and conference sponsorship and fees.

Most of the grant (£4.26m.) comes to the School as special funding (1999-2000: £4.16m.) rather than through the formulae for calculating teaching and research funding on which most higher education institutions rely. As explained in our 1995-96 report, this reflects the unusual staffing structure implied by the 'Institute model', in which a small core of academic staff stimulates and co-ordinates research activities by staff in other institutions. It is those other institutions whose formula funding for research will be increased by reference to the resulting publications. Excellent 1996 Research Assessment Exercise results by the School's own staff increased its formula research funding in 1997-98 by 50 per cent over earlier years, but it still represents only a small fraction of the School's total income (1998-99: 7.6 per cent; 1999-2000: 6.0 per cent; 2000-01: 6.2 per cent).

As last year's report indicated, the anomalies of the School's position in relation to formula research funding were noted by the HEFCE Review Panel, which recommended that the related funding be rolled up into the School's special funding, and the overall level of that funding determined by quinquennial peer review. HEFCE nonetheless indicated that it expected most Institutes to make a submission to the 2001 Research Assessment Exercise, and all but two (for which the terms of the Exercise were wholly inappropriate) in fact did so, on the assumption that the 2001 results would provide the baseline for the calculation of the research funding to be rolled up. More recent discussion between HEFCE and the University, however, appears likely to lead in the course of 2001-02 to a different funding mechanism and a more flexible review process, which may mean, by reason of a HEFCE decision to delay the financial impact of the 2001 Research Assessment Exercise until 2002-03, that the School will reap no pecuniary benefit from its submissions.

A detailed breakdown of the allocation of 2000-01 School grant funding between the different Institutes and Programmes, and the central functions of the School, appears at Appendix 3, along with comparative figures for preceding years.

PART II: INSTITUTES AND PROGRAMMES

Institute of Advanced Legal Studies

During the year under review the Institute further developed its academic programmes in accordance with the template provided by the Review Committee under the chairmanship of Sir Roy Goode. In addition to the work undertaken in the fields of company law; financial services regulation; economic crime; and legal education and the legal profession; new developments took place in comparative law, with the appointment of Professor Terence Daintith as the inaugural Director of Research of the IALS/Columbia Law School Research Centre, and the initiation of its first collaborative projects; in jurisprudence, legal history and public law, through the work of the inaugural three Visiting Senior Research Fellows; and in law reform, where valuable working relationships have been developed with, *inter alia*, the Law Commission and the Statute Law Society. Other important new initiatives include the first three of a programme of four workshops, held in southern Africa and funded by the Nuffield Foundation, in the fields of the legal protection of young persons; the prevention and control of corruption; and the protection and advancement of human rights; each of which will produce a Visiting Fellow who will spend time at the Institute undertaking further research in the relevant field.

The year also saw the launch of a new three-year programme, funded by DFID, under which the Institute's Centre for Legislative Studies will provide assistance in legislative drafting to the Government of Ukraine. The Institute also entered into an agreement with the Dickinson Law School of Penn State University to collaborate in running a 'gateway' semester programme in London from January 2002. This programme will greatly facilitate collaboration in other areas with the Dickinson Law Faculty, two members of which will be based at the Institute for a significant period each year to engage in collaborative research.

The library, which again registered more readers during the year, restructured and expanded its reader services and further developed its portfolio of electronic legal information projects, including the law section of the Social Science Information Gateway; the Foreign Law Guide; and the new British and Irish Legal Information Institute service. The conversion of the card catalogue to electronic form was completed, the Institute web site redesigned, and several searchable web databases were made available, including current topics of MPhil/PhD research students in law in the UK. The library also continued to participate in the Global Law Library initiative based at New York University Law School library, with partners in Armenia, Australia, China, The Netherlands, South Africa and USA.

Given the Institute's responsibility to maintain and develop what is in effect the UK's national law library, considerable attention continued to be given as to how best to improve the standard and amount of accommodation available to the Institute. Unfortunately, the proposal to construct a new building on the vacant site on Russell Square has encountered difficulties with planning consent and this, together with the likely costs of the scheme, has dictated that the Institute explore other alternatives, which must now take into account the decision, taken in December 2000 by the Secretary of State, to list the Bedford Way building as a Grade II building.

Institute of Classical Studies

The Institute arranged a full programme of research seminars and public lectures. Particular highlights were the fourth Trendall Lecture, co-sponsored by the Australian Academy for the Arts and Humanities and the Institute of Commonwealth Studies. Professor J. R. Green (Sydney), the Institute's first A. D. Trendall Fellow, entertained a capacity audience on 24 October with his lecture on 'Comic Cuts: the art

of the legitimate clinch'. The third floor lecture room was again full to capacity on 12 December for the Accordia Anniversary Lecture when Professor Larissa Bonfante told us about 'Sex and Magic in Etruscan painting and art'. The second Webster Lecture – 'Function and significance of music in Greek tragedy' – was given by Professor Gregory Sifakis on 15 March.

An important event for the Institute and the School was the major international conference on Incunabula and their Readers which took place here on 1-2 December. The conference was organised by Dr Kristian Jensen for the British Library and the Society for Renaissance Studies in conjunction with the Institute of Classical Studies and the Centre for the History of the Book in the Institute of English Studies. The conference focused on how 15th-century readers and owners used their books and how readers of incunabula today approach their subject, and also celebrated the official 600th birthday of Johannes Gutenberg. The vast majority of the 120 delegates came from outside London and for many it was their first visit to the School of Advanced Study.

The first stage in the compilation of a database of Greek papyri was completed with the aid of a grant from the Vice-Chancellor's Development Fund.

The Institute was very fortunate in having two School Visiting Fellows based here during the year. Dr Diana Burton (Victoria University of Wellington), a Warburg and Classical Studies Fellow, spent much of the autumn and spring terms in London. She participated in the King's College London Personification Conference, held here in September, and also gave a lecture at the Warburg Institute. During her visit she was able to put in place preliminary arrangements for an international conference on Death in the Classical World to be held here in July 2003. Dr Bertrand Goffaux (Catholic University of Louvain), jointly sponsored by Classical Studies and the Institute of Historical Research, spent the summer term here pursuing his research on the statuary programmes in the towns of the conventus Carthaginiensis and Caesaraugustanus. Other Visiting Fellows included Professor A. King, Mr J. Schloemann, Professor A. Schiesaro, and Dr H. Thliveri.

Two of the recently published BICS Supplements – *The Epigraphic Landscape of Roman Italy* and *The Afterlife of Inscriptions*, both edited by Alison Cooley – reflect the increased interest in epigraphy both within the Institute and also throughout the classical discipline in general. Thanks to a grant from the Vice-Chancellor's Development Fund we were able to purchase computer equipment for both the epigraphy research projects now based here. In May, Professor Michael Crawford received AHRB funding for three years for his *Imagines Italicae* project. The project will result in a volume of Italic inscriptions which will be an essential research resource for linguists, historians, archaeologists, art historians and local historians. At the end of the session Charlotte Roueché was given a Leverhulme Award for her Epidoc project on the Aphrodisias inscriptions.

Institute of Commonwealth Studies

The last twelve months have been a testing but invigorating time for the Institute. On the resignation of Professor Pat Caplan as Director with effect from 31 August 2000, the School commissioned a review by a panel under the Chairmanship of Sir Anthony Kenny, former Master of Balliol College Oxford and Warden of Rhodes House. Professor Caplan's Directorship, undertaken in a period of unremitting financial stringency, left the Institute with a more efficient and economical administration, a young and enthusiastic library and information services team, and a significantly improved working environment. Important questions remained, however, about its mission and how this could best be pursued within the framework of the School, and this was the remit of the panel. At the same time, the Dean of the School, Professor Terence Daintith, assumed the Acting Directorship of the Institute.

The report of the Kenny panel reaffirmed the importance of the Institute's work in a key period for serious study of the Commonwealth. It called for a stronger focus at the Institute on contemporary history and on politics and international relations, recommending that the next Director be sought within this latter field, which should be especially developed alongside existing academic strengths. The School and the University accepted this recommendation and agreed to give substantial additional financial support to the next Director's plans for development over the next five years. Professor Tim Shaw, Professor of International Development Studies and of Political Science at Dalhousie University, was appointed to the post of Director with effect from 1 August 2001. From 1 June to 31 July, Professor Robert Holland took over the Acting Directorship in succession to Professor Daintith.

Meanwhile the Institute's intellectual life went on without interruption. A new funding phase of the British Documents on the End of Empire project got under way in September, whilst the CASBAH (Caribbean Studies, Black and Asian History) programme and the history project funded by the Leventis Foundation continued. Towards the end of the year it was confirmed that funding had been obtained to develop the existing interest in the oral history of British Colonial Services. The Commonwealth Policy Studies Unit (CPSU) completed one project on the accountability of the public sector, and commenced new projects on election-observing in the Commonwealth, and on indigenous rights issues in Commonwealth countries.

Conference activity, which had built up during the 50th anniversary year, was sustained. A large multi-disciplinary symposium on Cultures of Political Transition: Memory, Identity and Voice was convened in September 2000, whilst in May 2001 the conference on Canada and the End of Empire attracted a considerable number of scholars from across the Atlantic. Further funding for Canadian Studies, including both seminars and conferences, was secured from the Canadian Government as part of a London consortium.

The Master's degree in Human Rights had a successful year under its newly-installed Convenor, Dr Nazila Ghanea-Hercock. A novel venture was the visit by a number of the students to Geneva, to obtain first-hand knowledge of the workings of the United Nations Commission on Human Rights.

The central importance of the Library to the mission of the Institute was underlined by the Kenny report. Notable practical achievements included a reorganisation of half the basement book storage area and further development of electronic resources. David Ward, who resigned as Information Resources Manager at the end of the year, will be much missed. In pursuance of the Kenny report's recommendations, the Institute, with its sister Institute of Latin American Studies, established a new joint post with overall responsibility for library and information services in both Institutes. Erika Gwynnett, formerly of the London School of Hygiene and Tropical Medicine, took up this post in August 2001.

Institute of English Studies

Finances and Membership expanded. Income (£340,671) exceeded last year's record total by £69,110, allowing the Institute to build up dedicated Research Centre reserves. Block subscription membership was extended to three more universities and the LTSN Subject Centre in English. Membership rose to 1793 from 1396, with a doubling of overseas membership.

The John Coffin Memorial Literary Readings (Professor Paul Muldoon, Princeton), and the Hilda Hulme Memorial Lecture (Professor Gillian Fellows-Jensen, Copenhagen) drew 547 people, of whom

482 were from beyond the University. Participation in the Research Seminar series (nearly half of which receive some subsidy from College and School partners) showed a dramatic increase to 1099 (669 last year). A total of 18 Visiting Research Fellows included 11 from the rest of the United Kingdom and 6 from abroad.

20 Conferences (13 last year) attracted a total of 906 delegates (544), and the Institute began the practice of putting pre-publications of conference papers from selected conferences onto its web-site. Conference income was £5,223 in excess of the budget forecast.

Enrolment in MA Courses was firm, with defining patterns of application emerging in both courses. New MPhil/PhD applications were also healthy. Elizabeth Maslen continued as acting Course Director of the National and International Literatures in English MA, and Susan Pfisterer (Menziess Centre for Australian Studies) as Course Tutor. Simon Eliot continued as Course Director of the MA in the History of the Book, Jane Roberts was Course Tutor during the sabbatical leave of Pamela Robinson. Richard Durston, the Institute's first full-time Administrator, was replaced by Joanne Nixon. Francesca Bettocchi became the new full-time MA Administrator and Finance Officer, Megan Carey continues as part-time Project Funding Officer in the Research Centre, where Jon Millington provides part-time support. The redesign of the Institute's web-site has eliminated cumbersome and expensive mailings to members, providing an appropriate platform for a new 'virtual' Institute.

The University of Birmingham's Department of English (including the Shakespeare Institute) joined the Research Centre in the History of the Book, which ran a Seminar on 'Post-1960s Publishing'. Clare Hutton is AHRB post-doctoral fellow, editing Volume 5 of the *History of the Book in Ireland*. Andrew Nash, AHRB post-doctoral fellow, organised the highly successful international conference 'The Culture of Collected Editions'. One new title in the Palgrave/IES series was published and another commissioned. Publications by members of the Institute and Visiting Fellows can now be purchased through direct links from the web-site to their publishers.

Institute of Germanic Studies

During 2000-2001 the Institute's new Thursday lecture series 'Resounding Concerns: Literary Reflections on Musical Themes' continued our present practice of focusing on one particular academic theme. The papers given in this series included analyses of musical transformations of literary texts in the Romantic period, Mörike's transposition of Mozart's Don Giovanni, the relationship between the composer Hans Pfitzner and Thomas Mann as well as a musical analysis of the novella *Wälsungenblut*, and they investigated Schopenhauer's influence on musical theory in 20th century, and the 'literature musical'. Both the Keith Spalding lecture, given by Professor H.-J. Kreutzer (Regensburg) on Goethe's *Faust* as a musical drama, and the contributions on Bach, Schumann, Mendelssohn-Bartholdy (Professor P. Mercer-Taylor of Valparaiso University) and Richard Strauss (Michael Kennedy of *The Sunday Telegraph*) at the workshop on musical biography ('Soundbites from Composers' Lives') added substantially to the interdisciplinary debate, which will be published as the next volume in the Institute's series *London German Studies*.

The second lecture series this year, 'British Writers on German Literature', was organised in collaboration with the Goethe-Institut London and, for the first time, brought together the distinguished contemporary British writers Lawrence Norfolk, Philip Hensher, Michael Hulse, Patricia Duncker and James Buchan who reflected on their understanding of the German literary tradition.

One of the important symbolic functions of the Institute was mirrored by the 19th Bithell Memorial Lecture which was delivered by Professor A. J. Nicholls, University of Oxford, on 'Fifty Years of Anglo-German Relations'.

The Institute organised three international conferences: on Nietzsche (*'Ecce opus'*) in September 2000, on literary journalism in Britain and the German-speaking countries ('Criticising the Critic') at the end of November 2000 and, in May 2001 coinciding with the exhibition 'Spirit of an Age: 19th Century Paintings from the Nationalgalerie Berlin' at the National Gallery, on intellectual explorations of Romantic landscapes ('Counterimages').

The graduate research seminar programme, chaired by the Director, continued this year, taking as its theme 'Magicians, Clowns and Fools in German Literature'.

During the year the Institute welcomed Dr Andreas Anglet (Cologne) as the first recipient of the Sylvia Naish Fellowship, and Dr Joanne McNally as Junior Visiting Fellow.

Goethe at 250 (volume 75 in the series 'Publications of the Institute of Germanic Studies') was published during the year, making available a selection of the papers given at the conference held in April 1999 marking the 250th anniversary of the author's birth. The second issue of the *Yearbook* of the Research Centre for German and Austrian Exile Studies appeared during the session, and it is gratifying to note that it has already succeeded in establishing itself as a significant source of research in its field.

Institute of Historical Research

It has been a busy, successful and productive year for the IHR. The appeal has now raised more than £3 million, and the IHR's first full-time Director of Development, Helen Cornish, took up her post on 1 September. The Institute has received a nine-year grant from the Leverhulme Trust of £1.8 million, to create two professorships and to expand research in the fields of Comparative Metropolitan History and Contemporary British History. In addition, a grant of US\$480,000 was received from the Andrew W. Mellon Foundation of New York to support research fellowships for North American graduate students in the humanities, and a grant of £346,596 was awarded to the IHR by the AHRB as host institution for a three year project to make the Royal Historical Society Bibliographies on British and Irish History available on-line.

This unprecedented inflow of funding has been accompanied by a no-less unprecedented series of appointments. Professor Anthony Fletcher became the new Director and General Editor of the VCH, and delivered his inaugural lecture, as the second Marc Fitch Lecture in Local History, on the subject of 'www.Englandpast.net: A Framework for the Social History of England'. Dr Derek Keene, previously Director of the Centre for Metropolitan History, has been appointed Leverhulme Professor of Comparative Metropolitan History, and Pat Thane, previously Professor of Contemporary History at the University of Sussex (and a member of the Board of the School) has been appointed Leverhulme Professor of Contemporary British History. At the same time, Dr Harriet Jones, previously the Acting Director of the ICBH, has been permanently appointed to the Directorship.

These appointments have been matched by a succession of internal promotions and external honours. Dr Alan Thacker has been given the title Reader in Medieval History; and Dr Clyve Jones has been made Reader in Modern History. Professor Diana Greenway was elected a Fellow of the British Academy in recognition of her work in medieval history; Professor Jinty Nelson has been elected an Honorary Fellow of the IHR at the conclusion of her three-year term as Chair of the Advisory Council; Professor

Peter Marshall has succeeded her; and the Director was awarded an honorary D.Litt. by the University of East Anglia.

Meanwhile, the IHR itself has been busier than ever before. The reclassification of the Library continues apace, thanks to funding from the Research Support Libraries Programme, and the total number of volumes in the collection now stands at 164,067. The Publications Department has continued to expand, with the re-design of the IHR web-site, and with the re-launch of *Historical Research*, which now appears quarterly. And there have been more lectures, conferences and seminars than ever, including the first series of Penguin Press lectures by Roy Porter, entitled 'Enlightenment: Britain and the Creation of the Modern World'; the Anglo-American Conference on 'The Sea', which attracted widespread interest and attention; the biennial Anglo-Japanese and Anglo-French conferences; and a seminar series on 'History and Human Nature' in association with Grove Atlantic Publishing.

The three research centres in the IHR have been equally active. The VCH has seen through the initiation and virtual completion of the Heritage Lottery Pilot Project, and the re-organisation of the central management team. The CMH has been busy with its research projects on markets and fairs in medieval England, and it was an important year for publications, including *London in the 1690s: A Social Atlas* by Craig Spence. And at the ICBH, the Witness Seminar Programme has been extended and expanded, the annual conference was devoted to 'The Permissive Society and its Enemies', and Professor Peter Hennessy has replaced Sir Peter Middleton as chair of the committee.

Institute of Latin American Studies

As with all scholarly enterprises, the Institute depends vitally upon its staff, and not simply for the efficient conduct of its core activities but also for the special character of our work and the sense of community associated with it. The Institute has suffered the bitter-sweet experience of losing Victor Bulmer-Thomas to other areas of public life.

Victor Bulmer-Thomas, Professor Emeritus and Senior Research Fellow since 1998 (when he retired from the directorship of ILAS), was appointed Director of the Royal Institute of International Affairs, Chatham House, from April 2001, leaving a legacy of excellence without elitism. The Advisory Council, in recognition of a dozen years of distinguished service, was pleased to appoint him an Honorary Research Fellow of the Institute.

The review of the ILAS library by the University of Warwick in 2000 led to a major restructuring, headed by the appointment of Erika Gwynnett to the new position of Information Resources Manager, a post held jointly with the Institute of Commonwealth Studies. Resources provided by the Vice-Chancellor have enabled the acquisition of new specialist equipment as well as movable shelving made necessary by the tight space-restrictions, particularly on periodical material.

These changes were paralleled by new academic initiatives and appointments to expand and strengthen the Institute's teaching and research programmes. Dr Kevin Middlebrook, Director of the Center for U.S.-Mexican Relations at the University of California, San Diego, was appointed Lecturer in Politics with effect from January 2002. Dr Ann Bartholomew was appointed as part-time Lecturer in Economics, holding at the same time a Research Fellowship at Oxford University's Centre for Brazilian Studies (CBS). Dr Bartholomew's appointment is the third to be shared between ILAS and CBS.

The Institute was pleased to be able to take on a major project to develop pedagogic and critical resources on Latin America, with the aim of electronic diffusion, supported by the Ford Foundation.

And in the course of the year ILAS and the Latin American Centre, University of Oxford, jointly appointed a Research Fellow for a two-year period as part of our collaborative programme funded by the Hewlett Foundation.

At the end of the session Dr Maxine Molyneux was appointed Professor of Sociology. Professor Molyneux thereby became the first serving member of the Institute's staff to be awarded a personal chair.

The conference and seminar programme was broad-based but also paid attention to Brazil, in keeping with the 500th anniversary of its discovery by Europeans. Events included conferences on 15 years of democracy and on 'alternative voices' in Brazil, and on 'images of nation' throughout the subcontinent.

The Institute hosted a workshop on Cuba that paid comparative attention to experiences of economic reform in China and Vietnam. A conference on social policy in Latin America in March 2001 was the last of three policy-related projects supported by a grant from the European Commission.

The Institute registers its warm thanks to the outside bodies whose intellectual, organisational and financial collaboration have supported its activities.

Institute of Romance Studies

The high point of the year was the University Cassal Lecture in French Culture given by Pierre Bourdieu. Professor Bourdieu, together with Professor Eric Hobsbawm, were keynote speakers at the conference on Anglo-French Intellectuals organised with the IHR and the Institut Français. We also co-hosted with the Hellenic Society and IES a public lecture by Marina Warner. Professor Luisa Passerini (European University Institute) addressed the Institute's Cultural Memory Seminar. The Gender and Sexuality Seminar was co-organised with IES, increasing its constituency. The Institute signed a cooperation agreement with the Gulbenkian Foundation, Paris to host joint activities.

Other joint ventures were the three-day conference 'Cultures of Political Transition' organised with the Institutes of Commonwealth and Latin American Studies; the 'Buñuel 2000' centenary conference organised at IRS by Queen Mary and Roehampton-Surrey, with major Spanish funding; and the conference on the nineteenth-century Spanish-English intellectual José Blanco White, organised with New York University and the Instituto Cervantes. Stuart Hall was keynote speaker at the conference 'Migration and Transculturation' organised by Professor Marina Heck (Fundação Getúlio Vargas, São Paulo), Visiting Fellow at the IRS in 2001. Other conferences included 'Italy in the Digital Era', 'Celebrating Robert Desnos', 'Almodóvar 20 Years', 'Travellers and Exhibitions in Europe', 'Jean Paulhan's Fiction, Criticism and Editorial Activity', 'Women, Colonialism and the Portuguese Empire', and the second conference on Women in Spanish, Portuguese and Latin American Studies. Follow-ups were held to the previous successful conferences on 'Imperial Dreams/Imperial Ghosts in Contemporary Portuguese Imaginaries' and 'Latin and Vernacular in Renaissance Iberia'.

In addition to Professor Heck, the Institute welcomed as Visiting Fellows Dr Susan Ingram (University of Alberta, SAS Fellow), Dr María Teresa Gómez (McGill University), Professor Kathleen Vernon (SUNY Stony Brook), and Dr Eugenia Houvenaghel (Foundation for Scientific Research, Flanders and University of Ghent).

Vol. 7 (1999) of the *Journal of the Institute of Romance Studies* appeared in December, and the Institute finalised a contract with Berghahn Books for publication of a new *Journal of Romance Studies* (vol. 1.1

appeared in Spring 2001) and a book series 'Re-mapping Cultural History'. In June, the database of UK MA provision in the Romance Languages, funded by a SAS Initiatives Grant, went live on the IRS's new website, created by our new Administrative Secretary, Sarah Wykes.

Five students enrolled for the MA in Cultural Memory in its second year. The Institute also recruited three new research students, all with AHRB awards. The Institute's Research Training Programme was expanded to 5 Day Schools, again very well attended. This research training provision has encouraged further Romance Language departments from outside London to take out membership of IRS, allowing their postgraduates to attend.

The Institute obtained a number of research awards during the year, for projects commencing in 2001-2: i) an EU Marie Curie Individual Fellowship for Dr Enrica Capussotti (European University Institute) to conduct a 2-year postdoctoral research project on migration in Italy and Spain; ii) an award under the EU Fifth Framework Programme to participate, with partners in Austria, Israel, Germany, Estonia, Greece and the UK, in the CULTOS project in intertextuality and the European cultural heritage; iii) two awards under the HEFCE/UCML Collaboration in Research Project, one of which (led by IRS, with QM and Reading/UCL) will produce on-line research training materials in the Romance languages, the other (led by Oxford Brookes, with the Institute of Germanic Studies and the Centre for Language Teaching and Research – CILT) producing a database of UK research in the Romance and Germanic languages.

Institute of United States Studies

The Institute continued to provide a forum for distinguished visiting scholars and public figures from throughout the United Kingdom and around the world through its programme of lectures, seminars and conferences. Among the lectures hosted during the 2000-2001 were: the James Bryce Lecture on the American Commonwealth delivered by Senator George J. Mitchell; the Cleanth Brooks Lecture on American Literature and Culture delivered by Professor Christopher Ricks (Boston University); the T S Eliot Lecture in American Studies delivered by Professor Wayne Fields (Washington University in St Louis); and the Coffin Lecture in the History of Ideas delivered by Professor Pierre Manent (Ecole des Hautes Etudes en Sciences Sociales).

As part of the celebration of the Copland Centenary the Institute hosted two events: the third John Coffin Memorial Recital, at the Royal Academy of Music, and the Copland Centenary Conference, a one-day conference held at King's College London.

Three other conferences were held during the year including a one-day conference, 'American Classic Films', in association with John Moores University and the American Embassy in London.

The Institute hosted its ongoing academic seminar series on 'American Literature and Culture and American Law and Politics'. The Institute also hosted a series of nine seminars on the 'United States Presidency' for discussion during the election year.

The programme of Lanesborough Luncheons, sponsored by JT International, continued to provide a forum for policy advisors and public figures to discuss issues of human rights and freedom.

The Institute moved forward with its plans to establish a new research initiative, the Centre for the Study of Modern Constitutionalism (CSMC). An appointment of a new lecturer in American Studies, Dr Johnathan O'Neill, reinforced the Institute's focus on this area of research. The Institute will host a

major two-day conference in November 2001 on 'America and the Enlightenment: Constitutionalism in the 21st Century' to mark the formal launch of the CSMC.

In 2000-2001 Dr James McClellan continued to be sponsored by The American Friends of the Institute as the James Bryce Visiting Fellow. The John Adams Fellow was Professor Emanuel Rubin (University of Massachusetts at Amherst). The Institute hosted four non-stipendiary Visiting Research Fellows: Deborah Hart Stock; Professor Alan Levine (American University); Professor Mark Movsesian (Hofstra University); and Dr Hugh Wilford (University of Sheffield).

In 2000-2001 the MA in United States Studies maintained registration levels with 23 students and the MPhil/PhD programme increased registration to 7 students. Students were recruited from the UK, the USA, Belgium, France, Germany, Italy and Spain.

A new Distance Learning MA in United States Studies was approved by the Academic Policy and Standards Committee of the School. A three-year grant for the development of the MA was awarded by the University's External and Internal Student Administration division. The new degree is expected to begin in October 2003.

Warburg Institute

After a long period marked by various levels of disruption to the building, this was a year without major works, in which subtle improvements to disabled access passed almost unnoticed. As did, initially, a minor revolution: a limited and subsequently much welcomed extension of evening and Saturday opening hours, thanks to RSLP funding. Meanwhile books (and electronic media) continued to flow into the Library, not least because of two significant bequests; the task of cataloguing them, and completing the retro-conversion of the old catalogue, has occupied a great deal of staff time, some of it externally funded. The Photographic Collection completed and made available online a comprehensive index to its subject headings; another valuable research resource (an index of saints and their attributes) was completed, and good progress was made with a new catalogue of the manuscript section. In the Archive, the AHRB-funded electronic catalogue of Warburg's correspondence passed 27,000 records, and the flow of visitors did not diminish. The Institute's website was substantially remodelled to give much more comprehensive access to its resources, and also to act as a gateway to vast areas of knowledge. Finally, collaboration with the Royal Library at Windsor was sealed by the arrival of the new Managing Editor of the Cassiano dal Pozzo project; and the Folklore Society moved its office and special reference collection to the Institute.

There were two long-term research fellows in residence during the year, and twenty-three short-term fellows, four of them funded by the Andrew Mellon Foundation, and one by Norddeutsche Landesbank. In addition, a new scheme supported by the Leverhulme Trust brought an Artist in Residence to the Institute for nine months. The British Academy funded three Visiting Scholars and two Exchange scholars; the Institute also hosted an SAS fellow, an Italian and a German Postdoctoral fellow, and an Aby Warburg scholar from the University of Hamburg. All the fellows gave papers on their research in the Director's Work in Progress seminar; other seminar series were devoted to the History of Scholarship, Maps and Society, and Giordano Bruno; there were three meetings of the Forum on Early Modern Central Europe, a regular postgraduate seminar, and one session of the Archives seminar. Two public lectures and two informal talks were delivered; five international colloquia were held, two on Early Modern Philosophy as part of a Leverhulme academic interchange scheme; one on 'Verbal Wisdom and Verbal Humour', organised by the Folklore Society; one on 'Writing in the Margin', in

collaboration with the Ecole Pratique des Hautes Etudes, and one on 'Imitation of Non-Classical Models in the Early Modern Period'.

Five students took the renamed MA course in Cultural and Intellectual History 1300-1650, and eighteen were registered for research degrees; for the first time a small number of bursaries were on offer. Two PhD students submitted their theses; one was successfully examined and the other was still pending at the end of the session. In addition three Occasional Students were registered.

Individual members of staff were active in publishing their own research and that of others (see Appendix 5).

Philosophy Programme

The Philosophy Programme is pleased to report a very successful and busy year, continuing its usual range of philosophical activities: one-day conferences, public lectures and hosting academic visitors. The Programme held ten one-day conferences in total, on a wide variety of philosophical topics, including Space and Time in Ancient Philosophy, Evil, Modern Poetry and Prejudice, and the Concept of Truth in Frege's Philosophy. The Programme continued its collaborations with other philosophical institutions, in particular in a very successful conference on Anglophone and European Philosophy of Mind, a collaboration with the Forum for European Philosophy. The conference on Professor Ronald Dworkin's latest book, *Sovereign Virtue*, with Professor Dworkin in attendance, attracted one of the Programme's largest audiences to date.

The Programme organised two public lectures, the John Coffin Memorial Lecture in Science, and the Jacobsen lecture. The Coffin lecture was given by Richard Lewontin on the topic, 'Does culture evolve?', and the Jacobsen lecturer for 2001 was Timothy Williamson, who spoke on the subject of the nature of logic. Both lectures were well-attended and followed by vigorous discussion. Professor Williamson also participated in a one-day conference on his work after the lecture.

Three visiting fellows played an active part in the Programme's activities: Charles Travis (University of Stirling), Mark Kaplan and Joan Weiner (both of Wisconsin-Milwaukee) participated in one-day conferences and gave lectures and seminars at the Colleges of the University of London and elsewhere in the United Kingdom. During the year the Programme has increased the total number of its institutional members (philosophy departments in the United Kingdom) to fifteen, and continued to disseminate information about philosophy in London in the canonical termly Fixtures List. In addition, the termly graduate seminar timetable and five graduate conferences were organised for the graduate students and staff of the University of London.

At the end of the academic year, we were sorry to learn that John Armstrong, who has developed the active Aesthetics wing of the Programme for the last three years, was leaving London to settle in Australia. The Programme is grateful to Dr Armstrong for all he has contributed over the years.

Centre for Palaeography

Activities this year were somewhat restricted because both Pamela Robinson and David Ganz were on research leave: Pamela Robinson for the whole year and David Ganz for the first term. During his leave David Ganz was invited to lecture at the Ecole des Chartes and also taught three classes there, and in May and June he gave four lectures on 'Livre et société en Angleterre 600-1066' at the Ecole Pratique

des Hautes Etudes in Paris. The annual Palaeography lecture was given by Michele Camillo Ferrari, of the University of Zurich, who spoke on 'The Book and the Cosmos in the Carolingian Age'. In addition in November there was a round table discussion of Richard and Mary Rouse's newly published *Manuscripts and their Makers: Commercial Book Producers in Medieval Paris*, with Caroline Barron and John Lowden as well as Richard and Mary Rouse. The discussion, entitled 'The Medieval Book Trade', explored the problems and possibilities of doing comparable work on book production in London. In January Professor George Kaiser spoke on 'Alchemical Manuscripts'. In February Pamela Robinson spoke on 'Libraries in Medieval London'. In March Professor Rodney Thomson of the University of Tasmania and All Souls' College Oxford gave a paper on 'The Use of the Vernacular in Worcester and other Manuscripts'. In May Professor Charles Briggs of the University of Georgia spoke on 'The Manuscript Transmission of the Works of Aristotle'.

In December the Centre cosponsored the AMARC December conference on 'Manuscripts at the Millennium', to which Professor Ganz gave a paper.

In June the Centre ran a Summer School in Palaeography which was attended by some 40 students, several of whom were doctoral students but some of whom were archivists or university teachers. Over a third of the students were enrolled on more than one course. For the first time courses were taught on 'Palaeography of Tudor and Stuart Documents' and on 'Musical Notation'. Three bursaries were awarded. Though the Introduction to Palaeography, taught for the first time as a class for beginners with four teachers each giving a lecture, only attracted ten students, all were keen on the course.

Throughout the year the Centre received secretarial support from Ms Linda Ross, whose efficiency, especially during the running of the Summer School, was greatly appreciated.

The Centre also regretted the departure to Oxford of Dr Julia Walworth, who as keeper of Special Collections in the University of London Library had been a regular participant at meetings, and who had provided valuable support to the Centre.

PART III: APPENDICES

Appendix 1: Institutes and Programmes

Institutes

Institute of Advanced Legal Studies
Charles Clore House,
17 Russell Square, WC1B 5DR
Tel: 020 7862 5800 Fax: 020 7862 5850

Institute of Classical Studies
Senate House, Malet Street, WC1E 7HU
Tel: 020 7862 8700 Fax: 020 7862 8719

Institute of Commonwealth Studies
27-28 Russell Square, WC1B 5DS
Tel: 020 7862 8844 Fax: 020 7862 8820

Institute of English Studies
Senate House, Malet Street, WC1E 7HU
Tel: 020 7862 8675 Fax: 020 7862 8672

Institute of Germanic Studies
29 Russell Square, WC1B 5DP
Tel: 020 7862 8965/6 Fax: 020 7862 8970

Institute of Historical Research
Senate House, Malet Street, WC1E 7HU
Tel: 020 7862 8740 Fax: 020 7862 8745

Institute of Latin American Studies
31 Tavistock Square, WC1H 9HA
Tel: 020 7862 8870 Fax: 020 7862 8886

Institute of Romance Studies
Senate House, Malet Street, WC1E 7HU
Tel: 020 7862 8677 Fax: 020 7862 8672

Institute of United States Studies
Senate House, Malet Street, WC1E 7HU
Tel: 020 7862 8693 Fax: 020 7862 8696

Warburg Institute
Woburn Square, WC1H 0AB
Tel: 020 7862 8949 Fax: 020 7862 8955

Director

Professor Barry Rider

Professor Geoffrey Waywell

Professor Patricia Caplan¹
Professor Terence Daintith²
Professor Robert Holland³

Professor Warwick Gould

Professor Rüdiger Görner

Professor David Cannadine

Professor James Dunkerley

Professor Jo Labanyi

Professor Gary McDowell

Professor Nicholas Mann

¹ To 31 August 2000

² Acting Director, 1 September 2000 to 31 May 2001

³ Acting Director, 1 June to 31 July 2001

Programmes

Philosophy Programme
Senate House, Malet Street, WC1E 7HU
Tel: 020 7862 8683 Fax: 020 7862 8657

Centre for Palaeography
Senate House, Malet Street, WC1E 7HU
Tel: 020 7862 8680 Fax: 020 7862 8672

Programme Director

Dr Timothy Crane

Professor David Ganz

Appendix 2: Government and administration of the School

BOARD OF THE SCHOOL

Professor G J Zellick (Vice-Chancellor of the University of London): Chairman
Dr R G W Anderson (Director, The British Museum)
Professor Hugh Beale (University of Warwick, and Law Commission)
Mr David Bradbury (Director, Collections and Services, British Library)
Professor G Brown (Research Centre for English and Applied Linguistics, University of Cambridge)
Professor T C Daintith (Dean, School of Advanced Study)
Professor W Gould (Director, Institute of English Studies, Deputy Dean)
Professor S Marks (Department of History, School of Oriental and African Studies, University of London)
Professor T O'Shea (Master, Birkbeck College, University of London)
Mr D Porreca (Warburg Institute: Student Member)
Professor P Thane (Department of History, University of Sussex)

Secretary: Mr J R Davidson (Director of Administration, University of London)

DIRECTORATE

Professor T C Daintith (Dean, School of Advanced Study): Chairman
Professor D Cannadine (Director, Institute of Historical Research)
Professor P Caplan (Director, Institute of Commonwealth Studies)¹
Professor J Dunkerley (Director, Institute of Latin American Studies)
Professor R Görner (Director, Institute of Germanic Studies)
Professor W Gould (Director, Institute of English Studies)
Professor R Holland (Acting Director, Institute of Commonwealth Studies)²
Professor J Labanyi (Director, Institute of Romance Studies)
Professor G McDowell (Director, Institute of United States Studies)
Professor N Mann (Director, Warburg Institute)
Professor B Rider (Director, Institute of Advanced Legal Studies)
Professor G Waywell (Director, Institute of Classical Studies)

Secretary: Ms Arvind Cheesman

COMMITTEES

Academic Policy and Standards Committee

Chairman: Professor B Rider (Institute of Advanced Legal Studies)

Secretary: Mr A Bell (Institute of Latin American Studies)

Computing Committee

Chairman: The Dean

Secretary: Ms Arvind Cheesman (School of Advanced Study)

Libraries Committee

Chairman: Mr Jules Winterton (Institute of Advanced Legal Studies)

¹ To 31 August 2000

² 1 June to 31 July 2001

Secretary: Mr Colin Annis (Institute of Classical Studies)

CENTRAL STAFF

| | |
|--------------------|-------------------------------|
| Dean | Professor Terence Daintith |
| Dean's Assistant | Ms Arvind Cheesman |
| Clerical Assistant | Ms Ushka Wakelin ¹ |
| | Ms Souwie Buis ² |
| Registrar | Mr A Bell |
| Webmaster | Ms Emilce Rees ³ |

FELLOWS

School Visiting Fellows

Dr Diana Burton, Victoria University of Wellington: *Greek conceptions of death and dying*

Professor Mary C Erler, Fordham University: *Ownership and social context of the London chronicle manuscripts*

Professor Marina Heck, Fundação Getúlio Vargas, São Paulo: *Private memories: food, ethnicity, migration and socialisation*

Bertrand Goffaux, Catholic University of Louvain: *Statuary programmes in the towns of the conventus Carthaginiensis and Caesaraugustanus*

Dr Susan Ingram, University of Alberta: *Scenes of suffering: Eisenstein, Adorno and the technologies of the autobiographical*

Dr Richard Whatmore, University of Sussex: *Republicanism in France and North America, 1776-1801*

Philosophy Fellows

Professor Charles Travis, University of Stirling: *Philosophy of perception*

Professor Joan Weiner, University of Wisconsin-Milwaukee: *Frege on truth and logic*

Professor Mark Kaplan, University of Wisconsin-Milwaukee: *J.L. Austin and epistemology*

¹ To 31 December 2000

² From 20 February 2001

³ From 26 February 2001

Appendix 3: Allocation of University Grant within the School 1998-99, 1999-2000, 2000-01
(before deductions for central University services etc)

| | 1998-99 | 1999-00 | 2000-01 |
|-------------------------------------|-----------|-----------|-----------|
| | £ | £ | £ |
| Institute of Advanced Legal Studies | 1,032,852 | 1,069,924 | 1,175,502 |
| Institute of Classical Studies | 365,485 | 375,291 | 382,501 |
| Institute of Commonwealth Studies | 444,603 | 447,523 | 457,354 |
| Institute of English Studies | 100,407 | 106,267 | 124,086 |
| Institute of Germanic Studies | 217,476 | 237,565 | 245,665 |
| Institute of Historical Research | 1,125,583 | 1,139,389 | 1,150,565 |
| Institute of Latin American Studies | 520,417 | 526,350 | 543,593 |
| Institute of Romance Studies | 61,982 | 68,350 | 69,661 |
| Institute of United States Studies | 174,000 | 184,828 | 187,746 |
| Warburg Institute | 1,125,247 | 1,141,173 | 1,174,486 |
| School of Advanced Study (central) | 176,043 | 226,854 | 240,547 |
| Total | 5,344,095 | 5,523,513 | 5,701,706 |

Appendix 4: Library Statistics 2000-2001

LIBRARY USAGE

Total visits by readers during the year 315,554

LIBRARY READERS

SAS 367
 University of London 5,470
 Other UK universities 4,226
 Overseas universities 2,253
 Private / commercial 5,257
 Visitors / temporary readers 3,644

Total Registered Readers 21,217

MAIN COLLECTIONS

Gift volumes added 6,927
 Total volumes added 22,377
 Total volumes 1,139,309

Current serial titles 7,392
 Total serial titles 25,992

Local electronic services 381

Microfilm rolls 4,885
 Microfiches 162,828

Archives in metres 659

Appendix 5: PUBLICATIONS

CLASSICS

The Afterlife of Inscriptions

Edited by Alison Cooley

Institute of Classical Studies BICS Supplement 75

Leasing and Lending: the Cash Economy in 4th Century Athens

Kirsty Shipton

Institute of Classical Studies BICS Supplement 74

HISTORY AND HISTORIOGRAPHY

Clergy in London in the Late Middle Ages: a Register of Clergy Ordained in the Diocese of London based on Episcopal Ordination Lists 1361-1539

Virginia Davis

Centre for Metropolitan History, Institute of Historical Research

English-Speaking Communities in Latin America

Edited by Oliver Marshall

ILAS/Macmillan (Palgrave) Series

Fasti Ecclesiae Anglicanae, 1066-1300

Volume VII, Bath and Wells

Edited by D. Greenway

Institute of Historical Research

Fifty Years of Anglo-German Relations

A.J. Nicholls

Bithell Memorial Lectures, Institute of Germanic Studies

Latin America between Colony and Nation: Selected Essays

John Lynch

ILAS/Palgrave Series

London in the 1690s: a Social Atlas

Craig Spence

Centre for Metropolitan History, Institute of Historical Research

Moored to the Continent? Britain and European Integration

Edited by R. Broad and Virginia Preston

Institute of Historical Research

Pictorial Composition from Medieval to Modern Art

Edited by François Quiviger and Paul Taylor

Warburg Institute Colloquia 6

The Warburg Institute

Rumours of Wars: Civil Conflict in Nineteenth-Century Latin America
Edited by Rebecca Earle
Institute of Latin American Studies XIX-Century Latin America Series

San Martín: Argentine Patriot, American Liberator
John Lynch
Institute of Latin American Studies Occasional Paper No. 25

Victoria County History
Essex: Bibliography Second Supplement
Edited by Beryl Board and Pamela Stodd
Oxford University Press for Institute of Historical Research

LANGUAGE AND LITERATURE

Darío, Borges, Neruda and the Ancient Quarrel Between Poets and Philosophers
Jason Wilson
Institute of Latin American Studies Occasional Paper No. 22

Goethe at 250. London Symposium
Edited by T.J. Reed, Martin Swales and Jeremy Adler
Institute of Germanic Studies Publications, 74

The New Woman in Fiction and in Fact
Edited by A. Richardson and C. Willis
Institute of English Studies/Palgrave Series

T.S. Eliot and Our Turning World
Edited by Professor Jewel Spears Brooker
Macmillan Series
Institute of United States Studies

This America We Dream Of: Rodó and Ariel One Hundred Years On
Gustavo San Román
Institute of Latin American Studies

Yvor Winters, Allusion and Pseudo-Reference
Christopher Ricks
The Cleanth Brooks Lecture
Institute of United States Studies

LAW

Banking on Corruption
Society for Advanced Legal Studies Anti-Corruption Working Group
IALS for Society for Advanced Legal Studies

Breaking the Code: the Impact of Legal Aid Reforms on General Civil Litigation
Tamara Goriely and Pieta Das Gupta with Roger Bowles

IALS and Nuffield Foundation

Corporate Liability: a Study in Principles of Attribution

Cheong-Ann Png

Kluwer/IALS Studies in Comparative Corporate and Financial Law

Directors' Duties: a New Millennium, a New Approach?

Bruce Butcher

Kluwer/IALS Studies in Comparative Corporate and Financial Law

The Ethics of Conditional Fee Arrangements

Society for Advanced Legal Studies Ethics and Lawyer Fee Arrangements Working Group

IALS for Society for Advanced Legal Studies

International Contracts and National Economic Regulation: Dispute Resolution through International Commercial Arbitration

Mahmood Bagheri

Kluwer/IALS Studies in Comparative Corporate and Financial Law

Money Laundering and Financial Intermediaries

Sandeep Savla

Kluwer/IALS Studies in Comparative Corporate and Financial Law

The Pinochet Case

Madeleine Davis

Institute of Latin American Studies Research Paper No. 53

Regulating the Financial Services Sector

George P. Giligan

Kluwer/IALS Studies in Comparative Corporate and Financial Law

Rule of Law in Latin America: The International Promotion of Judicial Reform

Edited by Pilar Domingo and Rachel Sieder

Institute of Latin American Studies

The Scales of Justice in Peru: Judicial Reform and Fundamental Rights

César Landa

Institute of Latin American Studies Occasional Paper No. 24

The Simplification of Planning Legislation

Society for Advanced Legal Studies Planning and Environment Law Reform Working Group

IALS for Society for Advanced Legal Studies

PHILOSOPHY

Avicenna's De Anima in the Latin West

Dag Nicolaus Hasse

Warburg Studies and Texts 1

Warburg Institute

POLITICS, ECONOMICS, SOCIAL SCIENCE

Abraham Lincoln and American Nationhood

The Harry Allen Memorial Lecture

Peter J. Parish

Institute of United States Studies

Bolivia: Reform and Resistance in the Countryside (1982–2000)

Miguel Urioste

Institute of Latin American Studies Occasional Paper No. 23

Fifty Years of Anglo-German Relations

A.J. Nicholls

Bithell Memorial Lectures, Institute of Germanic Studies

Healthcare Reform and Poverty in Latin America

Edited by Peter Lloyd-Sherlock

Institute of Latin American Studies

How Americans Choose Their Leaders: Reflections on the American Electoral System

George J. Mitchell

The Bryce Lecture

Institute of United States Studies

Leasing and Lending: the Cash Economy in 4th Century Athens

Kirsty Shipton

Institute of Classical Studies BICS Supplement 74

The Origins of the Peasant–Contra Rebellion in Nicaragua, 1979–87

Salvador Martí i Puig

Institute of Latin American Studies Research Paper No. 54

Regional Integration in Latin America and the Caribbean: The Political Economy of Open Regionalism

Edited by Victor Bulmer-Thomas

Institute of Latin American Studies

The Search for the Manly Heart: Recovering the Positive Tradition of Manliness

Walter R Newell

Olin Series

Institute of United States Studies

The Ugly American: Images of America in Continental Political Thought

James W Ceaser

Olin Series

Institute of United States Studies

Women's Movements in International Perspective: Latin America and Beyond

Maxine Molyneux

ILAS/Palgrave Series

AIDS TO RESEARCH

Historical Research for Higher Degrees in the Universities of the United Kingdom, no. 62
Part I: Theses Completed in 2000, Part II: Theses in Progress

Edited by Jane Winters
Institute of Historical Research

Teachers of History in the Universities of the United Kingdom

Edited by Jane Winters and A. Payne
Institute of Historical Research

PERIODICALS

Amicus Curiae: Journal of the Society of Advanced Legal Studies (published by Sweet & Maxwell in association with the Institute of Advanced Legal Studies)

Bulletin of the Institute of Classical Studies

European Business Law Review (published by Kluwer Law International in association with the Institute of Advanced Legal Studies)

European Journal of Law Reform (published by Kluwer Law International in association with the Institute of Advanced Legal Studies)

Financial Crime Review (published by Institutional Investor in association with the Institute of Advanced Legal Studies)

Financial Services Reporter (published by Sweet & Maxwell in association with the Institute of Advanced Legal Studies)

Historical Research: the Bulletin of the Institute of Historical Research (published by Blackwells for the Institute of Historical Research)

International and Comparative Corporate Law Journal (published by Kluwer in association with the Institute of Advanced Legal Studies)

Journal of Financial Crime (published by Henry Stewart Publications in association with the Institute of Advanced Legal Studies)

Journal of Financial Regulation and Compliance (published by Henry Stewart Publications in association with the Institute of Advanced Legal Studies)

Journal of the Institute of Romance Studies (continued as *Journal of Romance Studies*, below)

Journal of International Banking Regulation (published by Henry Stewart Publications in association with the Institute of Advanced Legal Studies)

Journal of Money Laundering Control (published by Henry Stewart Publications in association with the Institute of Advanced Legal Studies)

Journal of Romance Studies (published by Berghahn in association with the Institute of Romance Studies)

Journal of the Warburg and Courtauld Institutes

Yearbook of the Research Centre for German and Austrian Exile Studies (Institute of Germanic Studies)

Appendix 6 Student Numbers

| Taught Master's programmes | | | | | | |
|------------------------------|------------|-----------|-----------|-----------|---------|---------|
| Institute | Head Count | | | | Fte | |
| | Home/EC | | Overseas | | 2000-01 | 1999-00 |
| | Full-time | Part-time | Full-time | Part-time | | |
| Commonwealth Studies | 11 | 12 | 6 | 0 | 23 | 31 |
| English Studies | 5 | 16 | 3 | 0 | 16 | 11 |
| Latin American Studies * | 24 | 15 | 8 | 0 | 39.5 | 28 |
| Romance Studies | 1 | 4 | 0 | 0 | 3 | 3.5 |
| United States Studies | 3 | 17 | 6 | 1 | 17.5 | 13 |
| Warburg | 2 | 0 | 3 | 0 | 5 | 5 |
| Totals | 46 | 64 | 26 | 1 | 104 | 91.5 |
| * plus College registrations | 2 | 1 | 0 | 0 | 2.5 | 7.5 |

| MPhil/PhD programmes | | | | | | |
|------------------------|------------|-----------|-----------|-----------|-------------|---------|
| Institute | Head Count | | | | Fte | |
| | Home/EC | | Overseas | | Total | |
| | Full-time | Part-time | Full-time | Part-time | 2000-01 | 1999-00 |
| Advanced Legal Studies | * 6 | 8 | ** 14 | 10 | 29.0 | 23.0 |
| Commonwealth Studies | 4 | 1 | 3 | 1 | 8.0 | 6.0 |
| English Studies | | 1 | | | 0.5 | 0.5 |
| Germanic Studies | 2 | 1 | | | 2.5 | 1.5 |
| Historical Research | 3 | 1 | | | 3.5 | 2.0 |
| Latin American Studies | 2 | | 6 | 2 | 9.0 | 9.0 |
| Romance Studies | 3 | 1 | | | 3.5 | 0.5 |
| United States Studies | 2 | 3 | 1 | *** 1 | 5.0 | 2.5 |
| Warburg | *** 9 | 7 | 2 | | 14.5 | 18.0 |
| Totals | 31 | 23 | 26 | 14 | 75.5 | 63.0 |

* includes 2 students writing up

** includes 6 students writing up

*** includes 1 student writing up

Appendix 7: Taught Master's degree results

| Taught Master's programmes | | | | | | |
|----------------------------|-------------|---------|---------|---------|---------|---------|
| Institute | Distinction | | Pass | | Fail | |
| | 2000-01 | 1999-00 | 2000-01 | 1999-00 | 2000-01 | 1999-00 |
| Commonwealth Studies | 2 | 3 | 19 | 30 | | 3 |
| English Studies | 3 | 3 | 9 | 5 | | |
| Latin American Studies | 4 | 3 | 32 | 29 | 1 | 1 |
| Romance Studies | 1 | 2 | | 1 | | |
| United States Studies | | | 10 | 18 | 1 | 1 |
| Warburg | | 1 | 5 | 4 | | |
| Totals | 10 | 10 | 75 | 87 | 2 | 5 |

Appendix 8: PhD degrees awarded

Institute of Advanced Legal Studies

Samuel Kern Alexander

Third country liability under United States economic sanctions: the extra-territorial legal framework

Supervisors: Dr Mads Andenas and Dr F. Dawson

George O Barboutis

A legal analysis of conflicts of interest in the modern multi-functional financial conglomerate and proposals for their resolution.

Supervisor: Professor Barry Rider

Kristopher J P Hinterseer

Financial institutions and organised crime: an enquiry into the political economy of money laundering.

Supervisor: Professor Barry Rider

Cheong Ann Png

Principles of attribution and corporate liability

Supervisor: Professor Barry Rider

Institute of Commonwealth Studies

Shahnaz Karim

Aid and Conditionality in Bangladesh

Supervisors: Professor James Manor and Professor Robert Holland

Preeti Patel

The politics of health in Kenya, 1989-99

Supervisor: Dr Michael Twaddle

Institute of Latin American Studies

Henry Stipp

Inflation stabilisation in Brazil

Supervisor: Professor Victor Bulmer-Thomas

Jo Fisher

Uncovering a history of working-class feminism in Argentina: 'Ni Marujas, Ni Marimachos'

Supervisor: Dr Maxine Molyneux

Warburg Institute

Yuri Stoyanov

Apocryphal Themes and Apocalyptic Elements in Bogomil Dualist Theology and their Implications for the Study of Catharism

Supervisor: Professor W. F. Ryan